



Attorney Docket No. 50325-0817

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Preetham Kajekar, et al. ) Confirmation No.: 9355  
Serial No.: 10/718,175 ) Examiner: NYA  
Filed on: November 19, 2003 ) Group Art Unit No.: 2153

For: METHOD OF DETERMINING NETWORK PENETRATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

       1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.



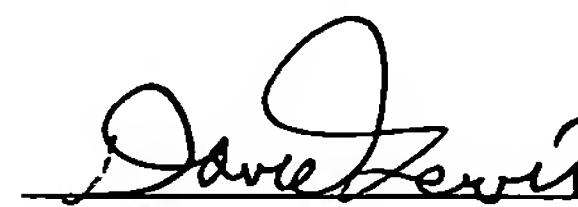
Attorney Docket No. 50325-0817

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: March 22 2004

  
David Lewis  
David Lewis  
Reg. No. 33,101

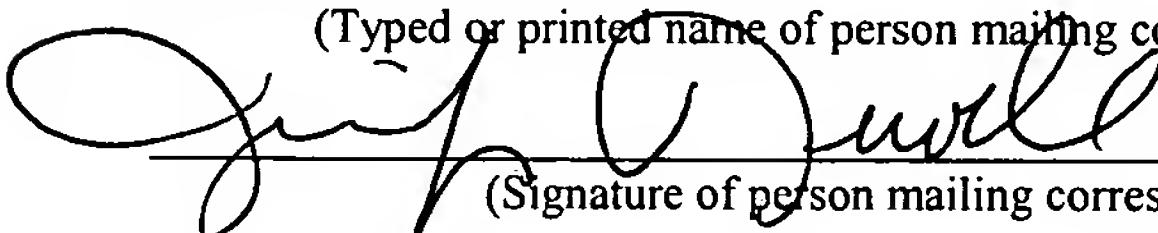
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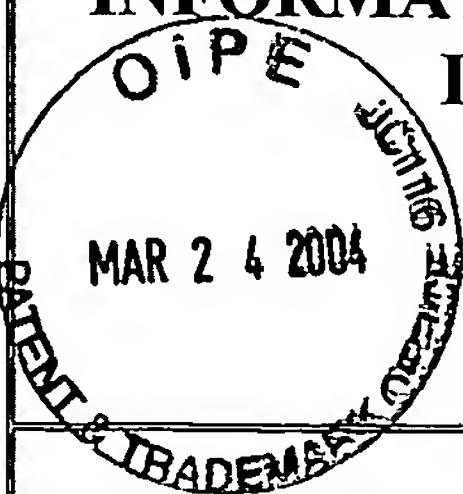
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Jennifer Newell

(Typed or printed name of person mailing correspondence)

  
Jennifer Newell  
(Signature of person mailing correspondence)

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
**(PTO-1449)**



**ATTY. DOCKET NO.**  
50325-0817

**SERIAL NO.**  
10/718,175

**APPLICANT:**  
Preetham Kajekar, et al.

**FILING DATE:**  
November 19, 2003

**GROUP:**  
2163

**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,909,550		Shankar et al.	06-01-1999	
		6,115,751		Tam et al.	09-05-2000	
		6,131,117		Clark et al.	10-10-2000	
		6,222,822	B1	Gerardin et al.	04-24-2001	
		6,381,221	B1	Legouet-Camus et al.	04-30-2002	
		6,425,009	B1	Parrish et al.	07-23-2002	
		6,473,406	B1	Coile et al.	10-29-2002	
		6,477,174	B1	Dooley et al.	11-05-2002	
		6,499,107	B1	Gleichauf et al.	12-24-2002	
		6,505,244	B1	Natarajan et al.	01-07-2003	
		6,539,427	B1	Natarajan et al.	03-25-2003	
		6,577,597	B1	Natarajan et al.	06-10-2003	
		6,584,502	B1	Natarajan et al.	06-24-2003	
		2003/0202531	A1	Dooley et al.	10-30-2003	
		2003/0233444	A1	Bakita et al.	12-18-2003	
		6,704,866	B1	Benayoun et al.	03-09-2004	

**FOREIGN PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 50325-0817	Application Number: 10/718,175
		<b>First Named Inventor:</b> Preetham Kajekar	
		<b>Filing Date:</b> November 19, 2003	
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Cisco Systems, Inc., "NetFlow Services for an Enterprise Network," Incorporated final editorial comments 3/29/2002, pp. 1-52.	
		Cisco Systems, Inc., "Network Management Basics," 1992-2002, <a href="http://www.cisco.com/univercd/cc/td/doc/cisintwk/ito_doc/nmbasics.htm">http://www.cisco.com/univercd/cc/td/doc/cisintwk/ito_doc/nmbasics.htm</a> , data retrieved on 3/11/04, pp. 1-5.	
		Cisco Systems, Inc., "White Paper: Monitoring Cisco Unity Performance (Version 3.x)," January 16, 2002, pp. 1-20.	
		Cisco Systems, Inc., "Cisco Network Monitoring and Event Correlation Guidelines," Reference Guide, 1999, pp. 1-77.	
		Cisco Systems, Inc., "Monitoring the Network with SNMP," Chapter 9, Catalyst 3000 and Catalyst Stack User Guide, 1989-1997, pp. 9-1 – 9-8.	
		Cisco Systems, Inc., "Monitoring the Network with SNMP," 1989-1997, <a href="http://www.cisco.com/univercd/cc/td/doc/product/lan/cat3ks/c3ksug/cat3ks09.htm">http://www.cisco.com/univercd/cc/td/doc/product/lan/cat3ks/c3ksug/cat3ks09.htm</a> , data retrieved on 3/11/04, pp. 1-5.	
		Cisco Systems, Inc., "Network Monitoring Using Cisco Service Assurance Agent," Cisco IOS Configuration Fundamentals Configuration Guide, Release 12.1, May 2002, pp. FC-281 – FC302.	
		Cisco Systems, Inc., "Cisco Intrusion Detection System Signature Engines Version 3.0," July 2001, 78-13346-01, pp. 1-32.	
		Cisco Systems, Inc., "Monitoring Network Faults," Chapter 5, Cisco WAN Manager's User's Guide, Release 12, Part Number OL-3838-01 Rev. D0, January 2004, 1992-2004, pp. 5-1 – 5-60.	
		Cisco Systems, Inc., "Monitoring Network Traffic Using SPAN," Chapter 24, Cisco MDS 9000 Family Configuration Guide, 78-15801-01, Cisco MDS SAN-OS Release 1.2(1a), 1992-2004, pp. 24-1 – 24-28.	

Examiner Signature		Date Considered	
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